TENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERC United States Pattert and Trademark Office Variety States Pattern and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/789,424

02/27/2004

Brian Searle

39767-0003

25213 HELLER EHRMAN WHITE & MCAULIFFE LLP 275 MIDDLEFIELD ROAD MENLO PARK, CA 94025-3506

RECEIVED

JUN 0 2 2004

H.E.W.M. LLP

CONFIRMATION NO. 5692

FORMALITIES LETTER *OC000000012787196*

Date Mailed: 05/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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For questions regarding compliance to these requirements, please contact:

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- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$673 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1123 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$673
 - \$43 for 1 independent claims over 3.
 - \$630 for 70 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

B.H.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

TATES PATENT AND TRADEMARK OFFICE

In re application of: Nagalla Serial No.: 10/789,424

Filed: 02/27/2004

For: Methods and systems for dentification of

Group No.: 1645

Examiner: Not assigned

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JUL 1 9 2004

Mail Stop Missing Parts **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 05/27/2004. A copy of the Notice to I. File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

No declaration or oath was filed. Enclosed is the original declaration or oath for this application. II.

POWER OF ATTORNEY

Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor. III.

SEQUENCE LISTING NOT REQUIRED

The Notice of Missing Parts states that the application fails to comply with the requirement for a sequence listing. IV. Applicant states that the application does not require a sequence listing, and that a sequence is not intended to be claimed, or is claimed.

SMALL ENTITY STATUS

V. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1.	Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$385
2.	Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$630
3.	Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$43
	Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65
	Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$40</u>
	Total completion fees	\$1,163

EXTENSION OF TIME

VI. Applicant believes that no extension of term is required. Fee Due: \$0

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. VII. There are errors with respect to the following, which are incorrectly entered.

Correct data

Applicant name and/or addresses

Srinivasa Nagalla, Hillsboro, OR

(Please note the change in order of the

- Brian Searle, Portland, OR Surendra Dasari, Portland, OR

inventors, as reflected on the enclosed declaration) - Mark Turner, Portland, OR

TOTAL FEE DUE

Corrected Filing Receipt Fees \$25 TOTAL FEE DUE \$1,188 The total fee due is: Completion fees \$1,163 VIII.

PAYMENT OF FEES

Charge Account No. 08-1641 (39767-0003) in the amount of \$1,188: IX. Please charge Account No. 08-1641 (39767-0003) for any fees that may be due by this paper.

Heller Ehrman White & McAuliffe LLP 275 Middlefield Road Menlo Park, CA 94025 (650) 324-7000 Customer No. 25213